



Suppliers Code of Conduct

An English translation of the document "Lieferantenkodex" 20230324

INHALTSVERZEICHNIS

▪ PROLOGUE	03		
▪ COMPANY & BUSINESS MODEL	04	▪ CONFIDENTIALITY	10
▪ BUSINESS RELATIONS	05	▪ ANTI-CORRUPTION	11
▪ WORK ENVIRONMENT	06	▪ DATA-PRIVACY	12
▪ ENVIRONMENT & SUSTAINABILITY	07	▪ CONFLICT MINERALS & CHEMICAL SUBSTANCES	13
▪ EXPORT COMPLIANCE	08	▪ EU-SUPPLY CHAIN ACT	14
▪ ASSURANCES WITH REGARD TO THE PRODUCTS' END-USE	09	▪ CONCLUSION	15

PROLOGUE

Dear valued Business Partner and Supplier,

RIEGL strives to carry out its activities in accordance with the applicable national and international legal framework and in an ethically correct manner.

RIEGL relies on its business partners to also apply high professional standards in their day-to-day business.

In an effort to inspire an environment of high professional standards and business ethics, we have hereby summarized the basic corporate guidelines of our group of companies for your information and adherence.

COMPANY & BUSINESS MODEL

RIEGL designs, develops and manufactures laser rangefinders, distance meters and laser scanners and systems for surveying applications, which *RIEGL* sells either directly or through its distribution network to end-users and OEM partners worldwide.

RIEGL offers its customers state-of-the-art LiDAR solutions for their professional workflows. The *RIEGL* product portfolio comprises laser scanning systems and associated software licenses for **terrestrial, mobile, industrial, airborne and UAV-based applications**.

For more information about our company and products, please refer to www.riegl.com

BUSINESS RELATIONS

We appreciate a comprehensive contractual framework for the cooperation with our business partners and value a balanced scale between rights and obligations for all parties involved.

Our General Terms and Conditions are aligned with the industry standards and form the contractual basis for business transactions.

However, we are prepared to negotiate with our suppliers the commercial terms of the respective business case and - in accordance with applicable EU competition and antitrust law - to make mutually agreed amendments to the supply contract.

WORK ENVIRONMENT

RIEGL is opposed to any form of child labour, forced labour or human trafficking.

Our business partner is also expected to strictly prohibit the labour of children and not tolerate or indirectly benefit from any form of forced labour and/or human trafficking.

Our business partner must also ensure the health and safety of its employees/workers and provide them with a working environment that is free from discrimination, harassment, bullying, etc. which meets high legal and ethical standards, in accordance with applicable international and national legal framework.

ENVIRONMENT & SUSTAINABILITY

RIEGL makes reasonable efforts to protect the environment within its capabilities and to promote environmental awareness.

Our business partner should also make reasonable efforts to sustainably use natural resources and manage waste while considering and respecting the local environment.

This includes, for example, monitoring and making efforts to improve energy consumption, giving preference to climate-friendly production where possible, reducing emissions, and environmentally sound waste and wastewater management in accordance with applicable regulations.

EXPORT COMPLIANCE

The goods sourced from our suppliers - whether hardware, software or accompanying technical data - affect the classification and therefore the exportability of our own products, particularly if your goods originate in or are re-exported from the USA.

RIEGL relies on its suppliers to proactively inform *RIEGL* in all relevant matters by providing accurate and complete information in their quotations, order confirmations and invoices about:

- the deliverables' country of origin;
- the deliverables' customs tariff/commodity number the item number of the goods on the export list;
- whether the deliverables are subject to the Dual-Use Regulation (EU) No 2021/821 as amended;
- the ECCN number of the deliverables or another classification according to the applicable (national or international) export restrictions, e.g. the US Export Administration Regulations (EAR);
- the USML category number of the deliverables if they are ITAR restricted.

ASSURANCES WITH REGARD TO THE END-USE

In our function as manufacturer of laser rangefinders, distance measuring devices and laser scanners and purchaser of material, components and parts we assure our suppliers as follows:

- The *RIEGL* products are designed and developed for civil and commercial or industrial surveying applications, unless otherwise specified in the purchase order or related correspondence.
- We comply with applicable regulatory export frameworks, including but not limited to applicable embargoes, sanctions and export controls.
- We screen the end use(s) and end user(s) of our products in accordance with applicable regulatory frameworks.

CONFIDENTIALITY

RIEGL applies appropriate technical and organisational measures (TOMs) to protect the confidential information of its partners and trusts them to treat *RIEGL*'s proprietary and confidential information with the same degree of care.

In addition to all confidential information covered by a confidentiality agreement, business partners are expected to keep all sensitive communications with *RIEGL* and *RIEGL*'s Trade Secrets confidential and not to disclose them to third parties without written consent, unless their disclosure is required by law.

"Trade Secrets" means any information or material relating to the existing or future business and/or technology of *RIEGL* and/or its business partners which is not generally known and therefore valuable to that party.

The supplier must also impose this confidentiality obligation on its employees and take appropriate protective measures to prevent unauthorised use and/or disclosure of *RIEGL*'s trade secrets.

ANTI-CORRUPTION

RIEGL observes and relies on the joint efforts of the competent international organisations on issues of conformity with all relevant standards.

In this context, Rules of Conduct on Combating Extortion and Bribery of the International Chamber of Commerce (ICC) are an integral part of all our contracts.

RIEGL requires its partners to comply with the aforementioned ICC Rules and encourages them to adopt comparable anti-corruption measures in their business dealings and to use their best efforts to prevent incidents of corruption, unethical behaviour or misconduct within their organisation.

DATA-PRIVACY

RIEGL makes every effort to comply with the requirements of the **EU General Data Protection Regulation (GDPR)**.

The business partner shall also comply with the applicable legal framework for the protection of personal data.

RIEGL expects from its business partner to apply at least the following or similar measures:

- Maintaining internal records on the necessary processing of the personal data;
- Implementation of appropriate technical and organisational measures to protect such data and prevent unauthorised access and use;
- Notifying the data subject and the competent authorities of any breach;
- Obtaining the consent of the data subject where required by law;
- Restricting the use of personal data to legitimate, necessary, proportionate and specified purposes.

CONFLICT MINERALS & CHEMICAL SUBSTANCES

The supplier shall manage and determine the origin of materials in its products and shall ensure that its products do not contain minerals from conflict mines and shall impose the same requirements on its supply chain.

The supplier shall comply with the RoHS Regulation 2011/65/EU regarding the permitted concentration levels of hazardous substances in its products.

The supplier shall comply with the REACH Regulation (EC No 1907/2006), which includes, inter alia, the obligation to monitor the list of substances falling within the scope of the REACH Regulation as published on the website of the European Chemicals Agency (ECHA), and shall proactively communicate to *RIEGL* all relevant information on the chemical substances contained in its products.

EU-SUPPLY CHAIN ACT

The ever-evolving EU framework has recently created new challenges for businesses with the new EU Supply Chain Act.

In particular, the EU Supply Chain Act includes the following obligations, which all suppliers of goods and services must comply with:

- Due diligence along the supply chain must become an integral part of company policy.
- There must be dedicated procedures for checking supply chains.
- Potential negative impacts on the environment or human rights must be identified, avoided and/or mitigated.
- The effectiveness of the procedures and measures put in place must be monitored and, if necessary, tightened up.
- An internal complaints/reporting channel must be established, ensuring the confidentiality of the identity of the whistleblower.

The business partner is expected to make reasonable efforts to comply with the above obligations.

CONCLUSION

The business partner hereby confirms that it has read the foregoing Suppliers Code of Conduct, is familiar with the applicable legal framework and will use its best efforts to comply with the above requirements.

Date, signature and company stamp: _____



Thank you

for supporting *RIEGL* to establish and inspire an environment with high professional standards and business ethics.

Copyright *RIEGL* Laser Measurement Systems GmbH © 2023 - All rights reserved.

Use of the content contained herein, in whole or in part, for any purpose other than the recipient's personal use, general information and internal review, requires the prior express written permission of the copyright holder.